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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**

WASHINGTON, D.C. 20549

**FORM 8-K**

**CURRENT REPORT  
PURSUANT TO SECTION 13 OR 15(D) OF THE SECURITIES EXCHANGE ACT OF 1934**

Date of report (Date of earliest event reported): **November 23, 2021**

**3M COMPANY**

(Exact Name of Registrant as Specified in Its Charter)

**Delaware**

(State or other jurisdiction of incorporation)

**File No. 1-3285**

(Commission File Number)

**41-0417775**

(IRS Employer Identification No.)

**3M Center, St. Paul, Minnesota**

(Address of Principal Executive Offices)

**55144-1000**

(Zip Code)

(Registrant's Telephone Number, Including Area Code) **(651) 733-1110**

**Not Applicable**

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions *see* General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock, Par Value \$.01 Per Share	MMM	New York Stock Exchange
	MMM	Chicago Stock Exchange, Inc.
1.500% Notes due 2026	MMM26	New York Stock Exchange
0.375% Notes due 2022	MMM22A	New York Stock Exchange
0.950% Notes due 2023	MMM23	New York Stock Exchange
1.750% Notes due 2030	MMM30	New York Stock Exchange
1.500% Notes due 2031	MMM31	New York Stock Exchange

Note: The common stock of the Registrant is also traded on the SWX Swiss Exchange.

Securities registered pursuant to section 12(g) of the Act: **None**

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the exchange Act.

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**Item 5.04. Temporary Suspension of Trading under Registrant's Employee Benefit Plans.**

On November 23, 2021, 3M Company (the "Company") sent a notice (the "Blackout Notice") to its directors and executive officers informing them of a blackout period that will begin at 3:00 P.M. Central Time on December 27, 2021 and end the week of January 9, 2022 (the "Blackout Period"). The Blackout Period is being imposed to allow for the transfer of administrative records in connection with a change in record keepers for the 3M Voluntary Investment Plan and Employee Stock Ownership Plan and the 3M Savings Plan (the "Plans").

During the Blackout Period, participants in the Plans will have limited access to their accounts, and will be unable to check their account balances, transfer or diversify their investments or obtain a loan, withdrawal or distribution from their Plan accounts. The Blackout Notice informed the directors and executive officers that, during the Blackout Period, they will be prohibited from, directly or indirectly, purchasing, selling, or otherwise acquiring or transferring any equity security of the Company acquired in connection with their service as a director or employment as an officer.

During the Blackout Period and for a period of two years after the ending date of the Blackout Period, the Company's security holders and other interested persons may obtain, without charge, information regarding the Blackout Period, including the actual ending date of the Blackout Period, by contacting the Company's Assistant Secretary at (651) 737-8503 or in writing at 3M Company, 3M Center, 220-10E-09, St. Paul, MN 55144.

A copy of the Blackout Notice is attached hereto as Exhibit 99.1 and is incorporated herein by reference.

**Item 9.01. Financial Statements and Exhibits**

(d) Exhibits

Exhibit Number	Description
99.1	<a href="#">Blackout Notice dated November 23, 2021.</a>
104	Cover Page Interactive Data File (the cover page XBRL tags are embedded in the Inline XBRL document).

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

3M COMPANY

By: /s/ Ivan K. Fong

Ivan K. Fong,  
Executive Vice President, Chief Legal and Policy Officer & Secretary

Dated: November 23, 2021

November 23, 2021

To: Directors and Executive Officers of 3M Company

Re: Notice Regarding 401(k) Plan Blackout Period and Trading Restrictions

The purpose of this notice is to inform you of a “blackout period” under the 3M Voluntary Investment Plan and Employee Stock Ownership Plan and the 3M Savings Plan (the “Plans”), during which you will be prohibited from engaging in transactions involving equity securities of 3M Company (the “Company”) acquired in connection with your service as a director or employment as an executive officer of the Company. We are required to provide this notice to you under Rule 104 of Regulation BTR promulgated by the U.S. Securities and Exchange Commission pursuant to Section 306(a) of the Sarbanes-Oxley Act of 2002.

**Reason for the Blackout Period**

The blackout period is being imposed to allow for the transfer of administrative records in connection with a change in record keepers for the Plans to Empower Retirement.

**Length of Blackout Period**

The blackout period will begin at 3:00 P.M. Central Time on December 27, 2021 and will end the week of January 9, 2022.

**Impact on Plan Participant Rights**

During the Blackout Period, Plan participants will have limited access to their accounts, and will be unable to check their account balances, transfer or diversify their investments or obtain a loan, withdrawal or distribution from their Plan accounts.

**Restrictions on Directors and Executive Officers During the Blackout Period**

During the blackout period, directors and executive officers of the Company will be subject to the trading restrictions imposed under Section 306(a) of the Sarbanes-Oxley Act of 2002 and Regulation BTR. These restrictions generally prohibit the direct or indirect purchase, sale, or other acquisition or transfer of any equity security of the Company acquired in connection with your service as a director or employment as an executive officer of the Company. Please note that “equity securities” is defined broadly to include not only the Company’s common stock, but also stock options and other derivatives. Covered transactions are not limited to those involving your direct ownership, but also include any transaction in which you have a pecuniary interest, such as transactions by immediate family members living in your household.

**Questions or Additional Information**

If you have questions regarding this notice, the blackout period, or the restrictions described above, please contact Michael Dai, Assistant Secretary, by telephone at (651) 737-8503 or by mail at 3M Company, 3M Center, 220-10E-09, St. Paul, MN 55144.