SEC Form 5

Ш

Π

FORM 5

Form 3 Holdings Reported.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0362 Estimated average burden hours per response: 1.0

Check this box if no longer subject to	Washington, D.C. 20549
Section 16. Form 4 or Form 5 obligations	
may continue. See Instruction 1(b).	ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHI

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

Form 4 Transac	ctions Reported		F				f the Securities Excl estment Company A										
1. Name and Address of Reporting Person [*] Gangestad Nicholas C					2. Issuer Name and Ticker or Trading Symbol <u>3M CO</u> [MMM]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner						
(Last)	(First)	(Mi		3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2016						Director X Officer (give title below)			Other (spec below)		(specify		
3M CENTER				4. If Amend	4. If Amendment, Date of Original Filed (Month/Day/Year)					6	Sr. VP & CFO 6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street) ST. PAUL	MN	55	144-1000							Х	X Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City)	(State)	(Zip))														
		Та	ble I - Non-Dei	rivative Se	curit	ies Acqu	ired, Disposed	d of,	or E	Beneficiall	y Ow	ned					
[[2. Transaction Date	2A. Deemed Execution Date, if any		3. Transaction	4. Securities Acquired (A) or Disposed Of (Instr. 3, 4 and 5)				Se	5. Amount of Securities				7. Nature of Indirect Beneficial		
			(Month/Day/Year)	(Month/Day/1		Code (Instr. 8)	Amount	(A) (D)	or	Price	at Fi	Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)		(D) or Indirect (I) (Instr. 4)		Ownership (Instr. 4)	
Common Stock	۲.		05/06/2016			G	500		D	\$ <mark>0</mark>		17,427.875(1)(2)		D			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	Transaction Code (Instr. 8)	Dispos	tive	6. Date Exercisable Expiration Date (Month/Day/Year)	and	Secu	tle and Amoun urities Underlyi vative Security d 4)	derlying of derivative Own surity (Instr. Derivative Securities Form Security Beneficially Direc (Instr. 5) Owned or In		10. Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	Beneficial Ownership t (Instr. 4)			

Date

Exercisable

Expiration

Date

Title

1. Includes dividend share equivalents, accrued quarterly, pursuant to 3M's Deferred Compensation Plan.

/s/ Sheila B. Claugherty, attorney-01/11/2017 in-fact for Nicholas C. Gangestad

(Instr. 4)

Reported Transaction(s)

** Signature of Reporting Person Date

Amount or

Number

of Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

2. Includes shares acquired under 3M's General Employee Stock Purchase Plan.

Explanation of Responses:

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(A)

(D)