SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Price Kimberly F			2. Issuer Name and Ticker or Trading Symbol <u>3M CO</u> [ MMM ]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
(Last) 3M COMPAN	(Last) (First) (Middle) 3M COMPANY OFFICE OF GENERAL COUNSEL		- 3. Date of Earliest Transaction (Month/Day/Year) 05/04/2017	X	Officer (give title below) Sr Vice Pro	Other (specify below)			
3M CENTER (Street) ST PAUL	MN	55144-1000	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	arivative Securities Acquired. Disposed of. or Benefi		med				

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transac Code (In 8)		4. Securities A Of (D) (Instr. 3				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	05/04/2017		М		3,016	A	\$77.18	9,292	D	
Common Stock	05/04/2017		S		3,016	D	\$198.1102	6,275	D	
Common Stock								255(1)	Ι	401K/paesop Trust

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned a alla a

	(e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivativ Security 3)	/e Conversio		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Non-qual Stock Op	stion \$77.18	05/04/2017		м			3,016	05/13/2009	05/13/2018	Common Stock	3,016	\$0	0	D	

Explanation of Responses:

(Right to Buy)

1. Includes shares acquired pursuant to the 3M Voluntary Investment Plan.

## /s/ Sheila B. Claugherty, attorney-05/08/2017 in-fact for Kimberly F. Price

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5