SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROV	/AL
OMB Number:	3235-0287
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1. Name and Address of Reporting Person [•] Gangestad Nicholas C					2. Issuer Name and Ticker or Trading Symbol <u>3M CO</u> [MMM]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) 3M CENTER	(First)	(N	liddle)		3. Date of Earliest Transaction (Month/Day/Year) 12/01/2017									x	Officer (g below)	jive title Sr. VP & 0		Other (s below)	
(Street) ST. PAUL (City)	MN (State)		5144-1000 ip)		4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indix	Form file	dual or Joint/Group Filing ((Form filed by One Repor Form filed by More than			,	
		Та	able I - Non	n-Deriv	vativ	ve Se	ecuritie	es Acc	quired, D	isp	osed o	f, or Be	enefic	ially Ow	ned				
Date				Date	ansaction hth/Day/Year)		2A. Deemed Execution Date if any (Month/Day/Yea		Transaction Dispo			ities Acqu d Of (D) (I			5. Amount Securities Beneficiall Following	Form Ily Owned or In Reported (Instr on(s)		: Direct (D) lirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
									Code	v	Amount (A) or (D)		Price	Transactio (Instr. 3 an					
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Co	Transaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (In 3 and 4)		erlying	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Co	de	v	(A)	(D)	Date Exercisabl		xpiration ate	Title		Amount or Number of Shares		Transaction(s (Instr. 4)		<u></u>	
Restricted Stock Units	(1)	12/01/2017		4	A		6,221		(2)		(2)	Comm		6,221	(1)	6,221		D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of 3M common stock.

2. The restricted stock units will vest in equal installments on 12/1/2020 and 12/1/2022.

/s/ Sheila B. Claugherty, attorney-12/04/2017 in-fact for Nicholas C. Gangestad

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.