FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| OMB APPROVAL             |           |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|                          |               |                     | 2. Issuer Name and Ticker or Trading Symbol 3M CO [ MMM ]   |  | tionship of Reporting Person(<br>all applicable)<br>Director | s) to Issuer          |  |  |
|--------------------------|---------------|---------------------|---|--|--|-----------------------|--|--|
| I I                      |               | (Middle)            | 3. Date of Earliest Transaction (Month/Day/Year) 02/02/2018 | X  | Officer (give title below)  Executive Vice P                 | Other (specify below) |  |  |
| (Street) ST. PAUL (City) | MN<br>(State) | 55144-1000<br>(Zip) | 4. If Amendment, Date of Original Filed (Month/Day/Year)    | Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person |  |                       |  |  |

| (City)                          | (State) | (Zip)              |  |   |   |      |  |               |            |  |   |                         |
|---------------------------------|---------|--------------------|--|---|---|------|--|---------------|------------|--|---|-------------------------|
|                                 |         | Table I - Non-Deri | vative \$                                  | Securities Acc  | uired,                                  | Disp | oosed of, o  | r Benef       | icially Ow | ned  |   |                         |
| 1. Title of Security (Instr. 3) |         | Date               | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) |      | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and 5) |               |            | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | Beneficial<br>Ownership |
|                                 |         |                    |  |   | Code                                    | v    | Amount   | (A) or<br>(D) | Price      | Transaction(s)<br>(Instr. 3 and 4)                                     |   | (Instr. 4)              |
| Common Stock                    |         | 02/02              | /2018                                      |   | М                                       |      | 11,660   | Α             | \$54.11    | 24,275.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 989  | D             | \$246.84   | 23,286.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 1,100  | D             | \$247.22   | 22,186.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 125  | D             | \$247.25   | 22,061.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 600  | D             | \$247.28   | 21,461.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 589  | D             | \$247.36   | 20,872.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 27   | D             | \$247.4    | 20,845.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 600  | D             | \$247.43   | 20,245.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 888  | D             | \$247.5    | 19,357.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 100  | D             | \$247.525  | 19,257.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 100  | D             | \$247.53   | 19,157.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 312  | D             | \$247.54   | 18,845.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 350  | D             | \$247.64   | 18,495.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 101  | D             | \$247.67   | 18,394.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 200  | D             | \$247.68   | 18,194.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 200  | D             | \$247.69   | 17,994.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 100  | D             | \$247.7    | 17,894.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 515  | D             | \$247.71   | 17,379.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 213  | D             | \$247.74   | 17,166.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 100  | D             | \$247.75   | 17,066.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 225  | D             | \$247.76   | 16,841.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 200  | D             | \$247.77   | 16,641.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 100  | D             | \$247.8    | 16,541.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 100  | D             | \$247.83   | 16,441.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 400  | D             | \$247.84   | 16,041.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 100  | D             | \$247.85   | 15,941.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 100  | D             | \$247.86   | 15,841.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 300  | D             | \$247.87   | 15,541.94  | D   |                         |
| Common Stock                    |         | 02/02              | /2018                                      |   | S                                       |      | 100  | D             | \$247.89   | 15,441.94  | D   |                         |
| Common Stock <sup>(1)</sup>     |         |                    |  |   |   |      |  |               |            | 195  | I   | By<br>Daughter          |

| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |   |  |   |          |  |     |                                    |                     |                    |  |                                     |   |  |   |  |
|--|---|--|---|----------|--|-----|------------------------------------|---------------------|--------------------|--|-------------------------------------|---|--|---|--|
| 1. Title of<br>Derivative<br>Security (Instr. 3)   | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security |  | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Code (In | ansaction bde (Instr. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |     | tive<br>ties<br>ed (A)<br>oosed of | (Month/Day/Year)    |                    | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                                     | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  |   |  |   | Code     | v  | (A) | (D)                                | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Shares |   | (Instr. 4)   |   |  |

## **Explanation of Responses:**

1. The reporting person disclaims beneficial ownership of these shares except to the extent of the reporting person's pecuniary interest in the shares.

## Remarks:

1 of 3

/s/ Sheila B. Claugherty, attorney-in-fact for James L. Bauman 02/05/2018

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.