SEC Form 5

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## FORM 5

Form 3 Holdings Reported.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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## ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

Form 4 Transac	tions Reported		I	Filed pursuan or Sec			estment Con										
1. Name and Address of Reporting Person* Shin Hak Cheol					2. Issuer Name and Ticker or Trading Symbol <u>3M CO</u> [ MMM ]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) 3M CENTER	, , , , , , ,				3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2018							X         Officer (give title below)         Other (specify below)           Vice Chair & Exec Vice Pres					
(Street) ST. PAUL	MN	144-1000	4. If Amen	4. If Amendment, Date of Original Filed (Month/Day/Year)							<ol> <li>Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ol>						
(City)	(State)	(Zip	)														
		Ta	ble I - Non-De	rivative S	ecurit	ies Acqu	ired, Dis	oosed	of, or	Benefici	ally Ov	/ned					
1. Title of Security (Instr. 3)			2. Transaction Date	2A. Deemed Execution Date,		te, Transaction Code (Instr.	(Instr. 3, 4	4. Securities Acquired (A) or Disposed Of (Instr. 3, 4 and 5)				Securities		6. Ownership Form: Direct		7. Nature of Indirect	
		(Month/Day/Year)		if any (Month/Day/Year)		Amount		(A) or (D) Price		a F	Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)		(D) or Indirect (I) (Instr. 4)		Beneficial Ownership (Instr. 4)		
Common Stock 11/14/2018					G		64,0	64,097 1		\$0		335.3194		D			
Common Stock 11/14/20				G		64,097		A	\$0		64,097		Ι		Revocable Trust		
Common Stock 08/1					G		202		D	\$0	\$ <mark>0</mark>		335.3194				
Common Stock												1,538		Ι	4	by 01k/paesop Trust	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date Execu (Month/Day/Year) if any	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Derivative		5. Date Exercisable and Expiration Date Month/Day/Year)		Sec	7. Title and Amou Securities Underl Derivative Securit 3 and 4)		8. Price of Derivative Security (Instr. 5)	deriva Secur Benet Owne Follov	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	Beneficial Ownership ct (Instr. 4)	
					(A)	(D)	Date Exercisable	Expirati Date	ion Title	e	Amount or Number of Share	5		action(s)			
Explanation of Re	sponses:																

/s/ Sheila B. Claugherty, attorney-02/01/2019 in-fact for Hak Cheol Shin

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.