SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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11. Nature

of Indirect

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * Roman Michael F				Name and Ticker	or Trading Sym	bol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) 3M CENTER	(First)	(Middle)	3. Date c 02/01/2	of Earliest Transacti 019	on (Month/Day	Year)	x x	Director Officer (give title below) Chief Exec Of	10% O Other (below) fficer & Directo	specify		
(Street) <u>ST. PAUL MN 55144-1000</u> (City) (State) (Zip)			4. lf Ame	ndment, Date of O	riginal Filed (Mo	onth/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3) 2. Trans			2. Transaction	2A. Deemed	3.	4. Securities Acquired (A) or		5. Amount of	6. Ownership	7. Nature of		

	Date (Month/Day/Year)			tion str.	Disposed Of (D) (Instr. 3, 4 and 5)			Beneficially Owned Following Reported	(Instr. 4)	Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	02/01/2019		М		4,944	Α	\$54.11	25,689.3762(1)	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 3A. Deemed Execution Date, 7. Title and Amount of Securities Underlying 2. Conversion 3. Transaction 5. Number of 6. Date Exercisable and 8. Price of 9. Number of 10. Date Transaction Derivative Expiration Date Derivative derivative Ownership

Security (Instr. 3)	or Exercise Price of Derivative Security	(Month/Day/Year)	if any (Month/Day/Year)	Code (Instr. 8)		Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		(Month/Day/Year)		Derivative Security (Instr. 3 and 4)		Security (Instr. 5)	Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)			
Non-qualified Stock Option (Right to Buy)	\$54.11	02/01/2019		М			4,944	02/09/2010	02/08/2019	Common Stock	4,944	\$0	0	D		

Explanation of Responses:

1. Title of

Derivative

1. Includes shares acquired under 3M's General Employee Stock Purchase Plan.

/s/ Sheila B. Claugherty, attorney-02/04/2019 in-fact for Michael F. Roman

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.