FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| OMB APPROVAL             |           |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|   |   |  |   |            |   |   | 3          | 00                                |  |   | pay 7 tot c        | ,, ,,,,,,,  |                |   |  |  |                             |   |                                       |  |
|---|---|--|---|------------|---|---|------------|-----------------------------------|--|---|--------------------|---|----------------|---|--|--|-----------------------------|---|---------------------------------------|--|
| 1. Name and Address of Reporting Person * Ashish Khandpur K |   |  |   |            |   | 2. Issuer Name and Ticker or Trading Symbol 3M CO [ MMM ]           |            |                                   |  |   |                    |   |                |   | Relationship of Reporting Person(s) to Issuer (Check all applicable)   |  |                             |   |                                       |  |
| (Last) 3M CENTER  | (First)   |  | (Middle)  |            |   | 3. Date of Earliest Transaction (Month/Day/Year) 02/05/2019         |            |                                   |  |   |                    |   |                |   | X Officer (g below)  | 10% Owner Other (specify below)                                    |                             | (specify  |                                       |  |
| (Street) ST. PAUL (City)                                    | MN<br>(State  |  | 75144-1000<br>Zip)  | 0          |   | 4. If Amendment, Date of Original Filed (Month/Day/Year) 02/07/2019 |            |                                   |  |   |                    |   |                |   | Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person |  |                             |   |                                       |  |
|   |   | Т  | able I - N  | lon-Der    | ivativ                                  | ve S  | ecuritie   | s Ac                              | auirea   | I. Dis  | sposed of          | f. or   | Benefi         | cially (  | Owned  |  |                             |   |                                       |  |
| 1. Title of Security (Instr. 3)                             |   |  | 2. Transaction<br>Date<br>(Month/Day/Year)                  |            | ion 2A. Deemed Execution Date,          |   | l<br>Date, | 3.<br>Transaction<br>Code (Instr. |  | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and |                    |   | or             | 5. Amount of Securities Beneficially Owned Following Reported |  |  |                             | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership                   |                                       |  |
|   |   |  |   |            |   |   |            | Code                              | v  | Amount  |                    | (A) or<br>(D)   | Price          | Transaction(s)<br>(Instr. 3 and 4)                            |  |  |                             | (Instr. 4)  |                                       |  |
| Common Stock  |   |  |   | 02/05/2019 |   |   |            |                                   | A  |   | 4,648.14           | 144 A   |                | \$0   | 10,499.2672  |  | D                           |   |                                       |  |
| Common Stock  |   |  |   | 02/05/2019 |   |   |            |                                   | F  |   | 172.581            | 581 <sup>(1)</sup> D  |                | \$ <mark>0</mark>   | 10,326.6862(2)   |  | D                           |   |                                       |  |
| Common Stock  |   |  |   |            |   |   |            |                                   |  |   |                    |   |                |   | 752(3)   |  | I                           |   | by Spouse                             |  |
| Common Stock  |   |  |   |            |   |   |            |                                   |  |   |                    |   |                | 787(3)  |  | I  |                             | Spouse<br>401k/paesop   |                                       |  |
|   |   |  | Table II  |            |   |   |            |                                   |  |   | osed of, o         |   |                |   | rned   |  |                             |   |                                       |  |
| 1. Title of<br>Derivative<br>Security (Instr.<br>3)         | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |            | 4.<br>Transaction<br>Code (Instr.<br>8) |   |            |                                   | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y |   | ate                | 7. Title and Amou<br>Securities Underly<br>Derivative Securit<br>3 and 4) |                | derlying  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)  | 9. Num<br>derivat<br>Securi<br>Benefi<br>Owned<br>Follow<br>Report | tive<br>ties<br>cially<br>d | 10.<br>Ownershi<br>Form:<br>Direct (D)<br>or Indirec<br>(I) (Instr. 4 | Beneficial<br>Ownership<br>(Instr. 4) |  |
|   |   |  |   | c          | ode                                     | v   | (A)        | (D)                               | Date<br>Exerci                                 | sable   | Expiration<br>Date | Title   |                | Amount<br>or<br>Number<br>of Share                            |  | (Instr. 4)   |                             |   |                                       |  |
| Incentive Stock<br>Option (Right to                         | \$201.12  | 02/05/2019                                 |   |            | A                                       |   | 51,127     |                                   | 02/05/   | 2020  | 02/04/2029         |   | ommon<br>Stock | 51,12   | 7 \$0  | 51   | ,127                        | D   |                                       |  |

## Explanation of Responses:

- 1. On February 7, 2019, the reporting person reported the number of shares of 3M Common Stock that will be delivered on a deferred basis as a result of the vesting of a 2016 performance share award made to the reporting person. The number of shares previously reported as being withheld for the payment of FICA taxes was estimated. This amendment is being filed to update the Form 4 with the actual number of shares withheld.
- 2. Includes shares acquired under 3M's General Employee Stock Purchase Plan.
- 3. Includes shares acquired pursuant to the 3M Voluntary Investment Plan.

/s/ Sheila B. Claugherty, attorney- 02/19/2019 in-fact for Ashish K. Khanpur

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.