FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| OMB APPROVAL             |           |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person      Moyo Dambisa F   |         |                  |               |                | <u>3M</u>  | 2. Issuer Name and Ticker or Trading Symbol 3M CO [ MMM ]  |   |     |               |   |                    |                  |  | lationship of R<br>k all applicab<br>Director   |   | Person( | (s) to Issue   |                                       |  |  |
|--|---------|------------------|---------------|----------------|------------|--|---|-----|---------------|---|--------------------|------------------|--|---|---|---------|--|---------------------------------------|--|--|
| (Last) 3M CENTER   | (First) | (Mi              | (Middle)      |                |            |  | 3. Date of Earliest Transaction (Month/Day/Year) 01/31/2020 |     |               |   |                    |                  |  |   | give title  |         | Other (specify below)  |                                       |  |  |
| JM CENTER  |         |                  |               |                |            | 4. If Amendment, Date of Original Filed (Month/Day/Year)   |   |     |               |   |                    |                  | _ I  | 6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person |   |         |  |                                       |  |  |
| (Street) MAPLEWOOI   | O MN    | 55               | 55144         |                |            |  |   |     |               |   |                    |                  |  |   | Form filed by More than One Reporting Person  |         |  |                                       |  |  |
| (City)   | (State) | (Zi <sub>l</sub> | p)            |                |            |  |   |     |               |   |                    |                  |  |   |   |         |  |                                       |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |         |                  |               |                |            |  |   |     |               |   |                    |                  |  |   |   |         |  |                                       |  |  |
| 1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)  |         |                  |               | Day/Year) if a |            | 2A. Deemed<br>Execution Date,<br>f any<br>(Month/Day/Year) |   |     |               | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and |                    |                  | 5. Amount of<br>Securities<br>Beneficially<br>Following Re<br>Transaction( | Owned eported   | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4)   |         | 7. Nature of Indirect Beneficial Ownership (Instr. 4)                    |                                       |  |  |
|  |         |                  |               |                |            |  |   |     | Code          | v   | Amount             | (A) or<br>(D)    | Price  | (Instr. 3 and 4)  |   |         |  | (111511.4)                            |  |  |
| Common Stock 01/31/  |         |                  |               |                | /2020      |  | A   |     | 20.768        | A   | \$162.51           | 1,430.919(1)     |  | D   |   |         |  |                                       |  |  |
| Common Stock 01/31/2   |         |                  |               |                | ./2020     |  |   |     | A             |   | 20.768(2)          | A                | \$162.51   | 51 104.779 <sup>(1)(3)</sup>  |   | I       |  | By<br>Corporation                     |  |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |         |                  |               |                |            |  |   |     |               |   |                    |                  |  |   |   |         |  |                                       |  |  |
| 1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security  3. Transaction Date (Month/Day/*         |         |                  | Execution Dat |                | Code (Inst |  |   |     |               |   | ate                | Securities Under |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)   | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s |         | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Fundamentian of De   |         |                  |               |                | Code       | v  | (A)   | (D) | Date<br>Exerc | cisable   | Expiration<br>Date | Title            | Amount<br>or<br>Number<br>of Shares  | nt (Instr. 4  |   |         |  |                                       |  |  |

## **Explanation of Responses**

- 1. The allocation between direct and indirect holdings was reported inconsistently in the last Form 4 filing, and has been properly adjusted.
- 2. This non-employee director has elected to defer all or a portion of compensation otherwise payable in cash or stock to a common stock equivalents account under the terms of 3M's Compensation Plan for Non-employee Directors and has no voting or investment powers with respect to such account.
- $3.\ Includes\ acquisition\ of\ deferred\ dividend\ reinvestment\ shares\ pursuant\ to\ 3M's\ Compensation\ Plan\ for\ Non-Employee\ Directors.$

Patricia L. Meagher, attorney-infact for Dambisa Moyo 02/03/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.