# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address Banovetz John	of Reporting Person		2. Issuer Name and Ticker or Trading Symbol <u>3M CO</u> [ MMM ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner
(Last) 3M CENTER			3. Date of Earliest Transaction (Month/Day/Year) 02/04/2020	X Officer (give title Other (specify below) Senior Vice President
(Street) MAPLEWOOD	MN	55144	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person
(City)	(State)	(Zip)		

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date,		tion str.	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
				v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	02/04/2020		A		3,934.082(1)	Α	\$ <u>0</u>	8,985.9397 <sup>(2)</sup>	D	
Common Stock	02/04/2020		F		1,458.086(3)	D	\$ <u>0</u>	7,527.8537	D	

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (In 8)				Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Non-qualified Stock Option (Right to Buy)	\$157.24	02/04/2020		A		31,545		02/04/2021 <sup>(4)</sup>	02/03/2030	Common Stock	31,545	\$0	31,545	D	

#### Explanation of Responses:

1. Represents shares of 3M common stock that will be delivered pursuant to the terms of a performance share awards made to the reporting person on March 1, 2017, and July 3, 2017. The performance-based vesting requirements applicable to such award were satisfied on the date reported in Column 2 above, which represents the date on which the level of performance attained was certified. The reporting person previously elected to defer receipt of fifty percent of the shares and will not have voting or investment powers with respect to these shares until they are issued.

2. Includes shares acquired under 3M's General Employee Stock Purchase Plan

3. The number of shares withheld for taxes was estimated and will be revised by amendment, if necessary,

4. The stock option will vest and become exercisable in a series of three equal installments on each of the first, second and third anniversary of the grant date.

/s/ Patricia L. Meagher, attorney-02/06/2020 in-fact for John Banovetz Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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