SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add	roop of Doporting	Boroon <sup>*</sup>	2. Date of Event Requiring Statement (Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol					
Lavers Jeffr		reison								
(Last)	ust) (First) (Middle)		08/04/2020		4. Relationship of Reporting Person(s) to Issuer (Check all applicable)			5. If Amendment, Date of Original Filed (Month/Day/Year)		
3M CENTER					Director X Officer (give title below)	10% Owner Other (speci below)		6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person		
(Street) ST. PAUL					Executive Vice President			Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	-							
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct Indirect (I) (In	(D) or 5)	ature of Indirect E	eneficial Ownership (Instr.	
Common Stock					4,419.6312(1)	D				
Common Stock					127(2)	D				
Common Stock					1,378	Ι	By	By Spouse		
Table II - Derivative Securities Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)			2. Date Exerce Expiration Da (Month/Day/)	ate	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	(D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiratio Date	n Title	Amount or Number of Shares	Price of Derivative Security	Indirect (I) (Instr. 5)		
Non-qualified Stock Option (Right to Buy)			02/07/2013	02/07/202	2 Common Stock	6,219	87.89	D		
Non-qualified Stock Option (Right to Buy)			02/05/2014	02/03/202	3 Common Stock	6,025	101.49	D		
Non-qualified Stock Option (Right to Buy)			02/04/2015	02/02/202	4 Common Stock	6,270	126.72	D		
Non-qualified Stock Option (Right to Buy)			02/03/2016	02/03/202	5 Common Stock	5,862	165.94	D		
Non-qualified Stock Option (Right to Buy)			02/02/2017	02/02/202	6 Common Stock	6,828	147.87	D		
Non-qualified Stock Option (Right to Buy)			02/07/2018	02/06/202	7 Common Stock	8,440	175.76	D		
Non-qualified Stock Option (Right to Buy)			02/06/2019	02/04/202	8 Common Stock	4,899	233.63	D		
Non-qualified Stock Option (Right to Buy)			02/05/2020	02/04/202	9 Common Stock	4,914	201.12	D		
Non-qualified Stock Option (Right to Buy)			02/04/2021	02/03/203	0 Common Stock	11,728	157.24	D		

## Explanation of Responses:

1. Includes shares acquired under 3M's General Employee Stock Purchase Plan.

2. These shares are jointly owned with spouse.

/s/ Patricia L. Meagher, attorneyin-fact for Jeffrey R. Lavers \*\* Signature of Reporting Person

08/11/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

OMB APPROVAL

LIMITED POWER OF ATTORNEY FOR SECTION 16 REPORTING OBLIGATIONS

Know all by these presents, that the undersigned hereby constitutes and appoints each of Patricia L. Meagher, Inchan Hwang, David A. Calder, Karen Stanoch-Sawczuk and Michael M. Dai, each acting individually, as the undersigned?s true and lawful attorney-in-fact, with full power and authority as hereinafter described on behalf of and in the name, place and stead of the undersigned to:

(1) prepare, execute in the undersigned?s name and on the undersigned?s behalf, and submit to the United States Securities and Exchange Commission (the ?SEC?) a Form ID, including amendments thereto, and any other documents necessary or appropriate to obtain codes and passwords enabling the undersigned to make electronic filings with the SEC of reports required by Section 16(a) of the Securities Exchange Act of 1934 and the rules and regulations promulgated thereunder, as amended from time to time (the ?Exchange Act?);

(2) prepare, execute, acknowledge, deliver and file Forms?3, 4, and 5 (including any amendments thereto) with respect to the securities of 3M Company, a Delaware corporation the ?Company?), with the SEC, any national securities exchanges and the Company, as considered necessary or advisable under Section?16(a) of the Exchange Act;

(3) seek or obtain, as the undersigned's representative and on the undersigned's behalf, information on transactions in the Company's securities from any third party, including brokers, employee benefit plan administrators and trustees, and the undersigned hereby authorizes any such person to release any such information to the above-named attorneys-in-fact and approves and ratifies any such release of information; and

(4) perform any and all other acts which in the discretion of such attorney-infact are necessary or desirable for and on behalf of the undersigned in connection with the foregoing.

The undersigned acknowledges that:

(1) this Limited Power of Attorney authorizes, but does not require, each such attorney-in-fact to act in their discretion on information provided to such attorney-in-fact without independent verification of such information;

(2) any documents prepared and/or executed by either such attorney-in-fact on behalf of the undersigned pursuant to this Limited Power of Attorney will be in such form and will contain such information and disclosure as such attorney-in-fact, in his or her discretion, deems necessary or desirable;

(3) neither the Company nor either of such attorneys-in-fact assumes (i) any liability for the undersigned's responsibility to comply with the requirement of the Exchange Act, (ii) any liability of the undersigned for any failure to comply with such requirements, or (iii) any obligation or liability of the undersigned for profit disgorgement under Section 16(b) of the Exchange Act; and

(4) this Limited Power of Attorney does not relieve the undersigned from responsibility for compliance with the undersigned?s obligations under the Exchange Act, including without limitation the reporting requirements under Section 16 of the Exchange Act.

The undersigned hereby gives and grants each of the foregoing attorneys-in-fact full power and authority to do and perform all and every act and thing whatsoever requisite, necessary or appropriate to be done in and about the foregoing matters as fully to all intents and purposes as the undersigned might or could do if present, hereby ratifying all that each such attorney-in-fact of, for and on behalf of the undersigned, shall lawfully do or cause to be done by virtue of this Limited Power of Attorney.

This Limited Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5 with respect to the undersigned?s holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Limited Power of Attorney to be executed as of this 1st day of August, 2020.

/s/ Jeffrey R. Lavers