SEC Form 5

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FORM 5

Form 3 Holdings Reported.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Check this box if no longer subject to	Washington, D.C. 20549
Section 16. Form 4 or Form 5 obligations	
may continue. See Instruction 1(b).	ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

Form 4 Transac	ctions Reported		F			f the Securities Exch estment Company A								
1. Name and Address of Reporting Person [•] Roman Michael F				2. Issuer Name and Ticker or Trading Symbol <u>3M CO</u> [MMM]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) 3M CENTER	(First)	(Middle)		3. Statement f 12/31/2020	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2020					Officer (giv below)		Other (specify below) an and CEO		
(Street) ST. PAUL	MN	55144-1000		4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)											
		Tal	ble I - Non-Dei	rivative Secu	rities Acqu	ired, Disposed	of, or	Beneficial	ly Ow	ned				
Date			2A. Deemed Execution Date,	3. Transaction	4. Securities Acqui (Instr. 3, 4 and 5)	D) 5. Amount of Securities Beneficially Owned			6. Ownershi Form: Direc	Indir	7. Nature of Indirect			
		(Month/Day/Year)	if any (Month/Day/Yea	r) 8)	Amount	(A) or (D)	Price	at Fis	eneficially Ow end of Issuei scal Year (Ins id 4)	's	(D) or Indire (I) (Instr. 4)	Own	Beneficial Ownership (Instr. 4)	
Common Stock 08/17/2020				G	970	D	\$ <mark>0</mark>	49,822.0386(1)		6(1)	D			
		 I				ed, Disposed o ptions, convert			Owne	d				
1. Title of Derivative	2.	3. Transaction	3A. Deemed	4. 5.1	lumber of	6. Date Exercisable a	nd 7. T	itle and Amour	nt of	8. Price	9. Num	nber of 10		11. Nature of Indirect

Date

Exercisable

Expiration

Date

Title

Explanation of Responses:

1. Includes dividend share equivalents, accrued quarterly, pursuant to 3M's Deferred Compensation Plan.

/s/ Patricia L. Meagher, attorney-01/04/2021 in-fact for Michael F. Roman

Amount or

Number

of Shares

(Instr. 4)

Reported Transaction(s)

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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