## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number 3235-0287 Estimated average burder 0.5 hours per response:

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre Roman Mich	ess of Reporting Pers nael F	son*	2. Issuer Name and Ticker or Trading Symbol <u>3M CO</u> [ MMM ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) 3M CENTER	(First) (Middle)		<ul> <li>3. Date of Earliest Transaction (Month/Day/Year) 02/01/2021</li> </ul>	X	Officer (give title below) Chairman a	Other (specify below)			
(Street) ST. PAUL (City)	MN (State)	55144-1000 (Zip)	<ul> <li>4. If Amendment, Date of Original Filed (Month/Day/Year)</li> </ul>	6. Indiv X	<ol> <li>6. Individual or Joint/Group Filing (Check Applicab X Form filed by One Reporting Person Form filed by More than One Reporting</li> </ol>				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									

## 7. Nature of 1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 4. Securities Acquired (A) or 5. Amount of 6. Ownership 3. Form: Direct (D) or Indirect (I) Date Execution Date Transaction Disposed Of (D) (Instr. 3, 4 and 5) Securities Indirect (Month/Day/Year) if any Code (Instr. Beneficially Owned Beneficial Following Reported Transaction(s) (Instr Ownership (Instr. 4) (Month/Dav/Year) 8) (Instr. 4) (A) or 3 and 4) Code v Amount Price D) Common Stock 02/01/2021 A 14,301.844(1) Α \$<mark>0</mark> 64,197.9383(2)(3) D Common Stock 02/01/2021 F 268.948(4) D **\$0** 63,928.9903 D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	ve Conversion Date Execution Date, Tr		Transaction I Code (Instr. 5 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Non-qualified Stock Option (Right to Buy)	\$175.02	02/02/2021		A		207,302		02/02/2022 <sup>(5)</sup>	02/01/2031	Common Stock	207,302	\$0	207,302	D	

## Explanation of Responses:

1. Represents shares of 3M common stock that will be delivered pursuant to the terms of performance share awards made to the reporting person on March 1, 2018, and July 2, 2018. The performance-based vesting requirements applicable to such awards were satisfied on the date reported in Column 2 above, which represents the date on which the level of performance attained was certified. The reporting person previously elected to defer receipt of the shares and will not have voting or investment powers with respect to such shares until they are issued.

2. Includes shares acquired under 3M's General Employee Stock Purchase Plan.

3. Includes dividend share equivalents, accrued quarterly, pursuant to 3M's Deferred Compensation Plan.

4. The number of shares withheld for taxes was estimated and will be revised by amendment if necessary.

5. The stock option will vest and become exercisable in a series of three equal installments on each of the first, second and third anniversary of the grant date.

/s/ Patricia L. Meagher, attorney-02/03/2021 in-fact for Michael F. Roman

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date