# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address <u>Rutherford De</u>		n <sup>*</sup>	2. Issuer Name and Ticker or Trading Symbol <u>3M CO</u> [MMM ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner
(Last) 3M CENTER	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/01/2021	X Officer (give title Other (specify below) below) Senior Vice President
(Street) MAPLEWOOD (City)	MN (State)	55144 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Ir	Transaction Disposed Of (D) (Instr. 3, 4 and 5) Code (Instr.		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	02/01/2021		А		1,100.974(1)	A	\$ <mark>0</mark>	11,814.0966(2)	D	
Common Stock	02/01/2021		F		527.741 <sup>(3)</sup>	D	\$ <mark>0</mark>	11,286.3556	D	
Common Stock								2,552	Ι	By 401k/paesop Trust
Common Stock								71	Ι	By Spouse

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(e.g	., puis	, cai	is, wai	iants	, options, c	conventio	e securitie	5)				
1. Title of Derivative Security (Instr. 3)			Code (Ir	4. 5. Numl Transaction Derivati Code (Instr. Securiti 8) Acquire or Dispo of (D) (I 4 and 5)		ve es ed (A) osed nstr. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units	(4)	02/02/2021		Α		755		(5)	(5)	Common Stock	755	\$0	755	D	
Non-qualified Stock Option (Right to Buy)	\$175.02	02/02/2021		A		5,213		02/02/2022 <sup>(6)</sup>	02/01/2031	Common Stock	5,213	\$0	5,213	D	

### Explanation of Responses:

1. Represents shares of 3M common stock that will be delivered pursuant to the terms of a performance share award made to the reporting person on March 1, 2018. The performance-based vesting requirements applicable to such award were satisfied on the date reported in Column 2 above, which represents the date on which the level of performance attained was certified.

2. Includes shares acquired under 3M's General Employee Stock Purchase Plan.

3. The number of shares withheld for taxes was estimated and will be revised by amendment if necessary,

4. Each restricted stock unit represents a contingent right to receive one share of 3M common stock.

5. The restricted stock units vest 100% three years from the grant date of February 2, 2021.

6. The stock option will vest and become exercisable in a series of three equal installments on each of the first, second and third anniversary of the grant date.

/s/ Patricia L. Meagher, attorney-02/03/2021 in-fact for Denise R. Rutherford Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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