SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*   |         |                     |  |  | 2. Issuer Name and Ticker or Trading Symbol<br>3M CO [ MMM ] |  |  |     |                             |        |   |                       |  | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable) |   |  |   |                   |  |
|--|---------|---------------------|--|--|--|--|--|-----|-----------------------------|--------|---|-----------------------|--|--|---|--|---|-------------------|--|
| WOERTZ PATRICIA A  |         |                     |  |  |  |  |  |     |                             |        |   |                       |  | Director   |   |  | 10% O   | wner              |  |
| (Last)   | (First) | (M                  | 3. Date of Earliest Transaction (Month/Day/Year)<br>05/11/2021 |  |  |  |  |     |                             |        |   | Officer (g<br>below)  | ive title  |  | Other (<br>below)   | (specify   |   |                   |  |
| 77 WEST WACKER DRIVE   |         |                     |  |  |  | 4. If Amendment, Date of Original Filed (Month/Day/Year) |  |     |                             |        |   |                       |  | 6. Individual or Joint/Group Filing (Check Applicable Line)                |   |  |   |                   |  |
| SUITE 4600   |         |                     |  |  |  |  |  |     |                             |        |   |                       | 2  | X Form filed by One Reporting Person                                       |   |  |   |                   |  |
| (Street)   |         |                     |  |  |  |  |  |     |                             |        |   |                       |  | Form file  | d by Mor  | e than C   | One Reporti   | ng Person         |  |
| CHICAGO  | IL      | 60                  | 601  |  |  |  |  |     |                             |        |   |                       |  |  |   |  |   |                   |  |
| (City)   | (State) | (Zi                 | ip)  |  |  |  |  |     |                             |        |   |                       |  |  |   |  |   |                   |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |         |                     |  |  |  |  |  |     |                             |        |   |                       |  |  |   |  |   |                   |  |
| 1. Title of Security (Instr. 3)<br>2. Transa<br>Date<br>(Month/D   |         |                     |  |  | h/Day/Year) if   |  | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)  |     |                             |        | Acquired (A<br>(D) (Instr. 3,                                 |                       | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported<br>Transaction(s) |  | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) |  | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                   |  |
|  |         |                     |  |  |  |  |  |     | Code                        | v      | Amount  | (A) or<br>(D)         | Price  | (Instr. 3 and  |   |  |   | inisu. 4)         |  |
| Common Stock 05/11/  |         |                     |  |  | /2021  |  |  |     | Α                           |        | 892.298 <sup>(1</sup>   | ) <b>A</b>            | \$207.33   | 4,176.9  | 43 <sup>(2)</sup>   |  |   | By<br>Corporation |  |
| Common Stock   |         |                     |  |  |  |  |  |     |                             |        |   |                       |  | 2,58   | 0   |  | D   |                   |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities) |         |                     |  |  |  |  |  |     |                             |        |   |                       |  |  |   |  |   |                   |  |
| Derivative Conversion Date Security (Instr. 3) or Exercise (Month/Day/Year)  |         | Execution<br>if any |  |  | 4.<br>Transaction<br>Code (Instr.<br>8)                      |  | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D) (Instr. 3, 4<br>and 5) |     | e Exer<br>ation D<br>h/Day/ |        | 7. Title and<br>Securities L<br>Derivative S<br>(Instr. 3 and | Inderlying<br>ecurity | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)                                      | derivati<br>Securiti<br>Benefic<br>Owned<br>Followi<br>Reporte             | rities<br>eficially<br>ed<br>owing                                | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4)                             |                   |  |
|  |         |                     |  |  | Code   | v  | (A)  | (D) | Date<br>Exerc               | isable | Expiration<br>Date  | Title                 | Amount<br>or<br>Number<br>of Shares  |  | (Instr. 4   |  |   |                   |  |

Explanation of Responses:

1. This non-employee director has elected to defer all or a portion of compensation otherwise payable in cash or stock to a common stock equivalents account under the terms of 3M's Compensation Plan for Non-employee Directors and has no voting or investment powers with respect to such account.

2. Includes acquisition of deferred dividend reinvestment shares pursuant to 3M's Compensation Plan for Non-Employee Directors.

| /s/ Patricia L. Meagher, attorney- | 05/12/2021 |  |  |
|------------------------------------|------------|--|--|
| in-fact for Patricia A. Woertz     | 03/12/2021 |  |  |
| ** Signature of Reporting Person   | Date       |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.